

07-31-01

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Practitioner's Docket No. RPS920000117US1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): William Joseph Piazza

For (title): **METHOD AND SYSTEM FOR IDENTIFYING COMPATIBILITY BETWEEN
FIRMWARE IMAGES**

1. Type of Application

This transmittal is for an original (nonprovisional) application.

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10*

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231.

37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10*

☐ with sufficient postage as first class mail.

☒ as "Express Mail Post Office to Address"
Mailing Label No. ET075897808US
(mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office (703) _____

Date: 7-30-2001

Amirah Scarborough
Signature

Amirah Scarborough
(type or print name of person certifying)

2. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

20 Page(s) of Specification
12 Page(s) of Claims
4 Sheet(s) of Drawing(s)—informal

B. Other Papers Enclosed

3 Page(s) of declaration and power of attorney
1 Page(s) of abstract

3. Assignment

An assignment of the invention to **International Business Machines Corporation; Armonk, NY** is attached. A separate "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" is also attached.

4. Fee Calculation (37 C.F.R. Section 1.16)

Regular Application

CLAIMS AS FILED

| Claims | Number Filed | Basic Fee Allowance | Number Extra | Rate | Basic Fee 37 CFR 1.16(a) \$710.00 |
|----------------------------------|--------------|---------------------|--------------|----------------|-----------------------------------|
| Total Claims | 52 | 20 | 32 | \$18.00 | \$576.00 |
| Independent Claims | 6 | 3 | 3 | \$80.00 | \$240.00 |
| Multiple Dependent Claims | | | | | \$0.00 |
| Filing Fee Calculation | | | | | \$1,526.00 |

5. Fee Payment Being Made at This Time

| | |
|--|-------------------|
| Enclosed | |
| Filing Fee | \$1,526.00 |
| Recording assignment (\$40; 37 C.F.R. Section 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION".) | \$40.00 |
| Total Fees Enclosed | \$1,566.00 |

6. Method of Payment of Fees

Charge Account No. **50-0563** in the amount of \$1,566.00.
A duplicate of this transmittal is attached.

7. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. **50-0563**.

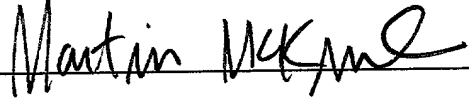
37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

8. Instructions as to Overpayment

Credit Account No. **50-0563**.

Date: 7-30-01


Martin McKinley
Registration No. 31,782
IBM Corporation
Dept. 9CCA/002
P. O. Box 12195
Research Triangle Park, NC 27709-2195
919-254-2578